

Elucidating the gut microbiota composition and the bioactivity of immunostimulatory commensals for the optimization of immune checkpoint inhibitors

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ABSTRACT

Accumulating evidence from preclinical studies and human trials demonstrated the crucial role of the gut microbiota in determining the effectiveness of anticancer therapeutics such as immunogenic chemotherapy or immune checkpoint blockade. In summary, it appears that a diverse intestinal microbiota supports therapeutic anticancer responses, while a dysbiotic microbiota composition that lacks immunostimulatory bacteria or contains overabundant immunosuppressive species causes treatment failure. In this review, we explore preclinical and translational studies highlighting how eubiotic and dysbiotic microbiota composition can affect progression-free survival in cancer patients.

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Introduction

The rise of cancer immunotherapy over the past decade has revolutionized the clinical management of a wide array of malignancies that were previously associated with poor prognosis.¹ Immune checkpoint inhibitors (ICIs) targeting the PD-1/PD-L1/PD-L2 and CTLA-4/CD86 axis are at the forefront of current implementations in various indications, alone or in combination, for advanced, metastatic, neoadjuvant, and adjuvant settings. Given the broad bioactivity across multiple histological tumor types, the durability of response, and therapeutic success in second or third line chemo-resistant diseases, ICIs are now positioned as a first-in-class drug and thus constitute major pillar in the oncological armamentarium.^{2–8} As such, ICIs have been approved by multiple regulatory agencies worldwide and are now considered the standard of care in a wide range of solid and hematologic neoplastic diseases including advanced-stage melanoma, non-small-cell lung cancer (NSCLC), head and neck cancer, bladder cancer, or renal cell carcinoma (RCC).⁹

Despite the exceptional improvement in objective response rates and overall survival (OS) benefits, ICI responses are currently only observed in a minority (~30%) of patients.^{5,7} Indeed, most patients manifest primary or secondary resistance to ICIs or even acceleration of the disease called “hyperprogression.”¹⁰ Large efforts are being dedicated to identify the “cancer immune set-point,” a notion defined as

the point which determines the parameters that govern the strength, timing, and threshold beyond which an effective immune response can occur in a given individual.^{11,12} Besides tumor intrinsic factors, many non-cell autonomous parameters control primary resistance to ICIs. Recent evidence points to the biological significance of the composition of the gut microbiota in influencing peripheral immune tone and the effectiveness of immunotherapy in cancer patients.^{13–16}

The human gut microbiota modulates many host processes, including metabolism, inflammation, peristalsis, immune functions, and intestinal epithelial barrier fitness.^{17–19} In the last decade, major progress has been made in the comprehension of colon cancer development in interaction with the local microbiota.²⁰ Surprisingly, a ‘deviated’ repertoire of the gut microbiota, called ‘intestinal dysbiosis,’ has been epidemiologically – and sometimes causally – associated with a variety of chronic inflammatory disorders including neoplasia, located at sites distant from the gut. In parallel, discoveries made in preclinical tumor models and in cancer patients have demonstrated that the composition of the intestinal microbiota influences the effectiveness of anticancer agents (such as immunogenic chemotherapies and ICIs) and regulates tumor immunosurveillance.^{21–28} Several lines of evidence have unraveled the link between the gut microbiota composition and ICI-mediated anti-tumor immune responses. This review will

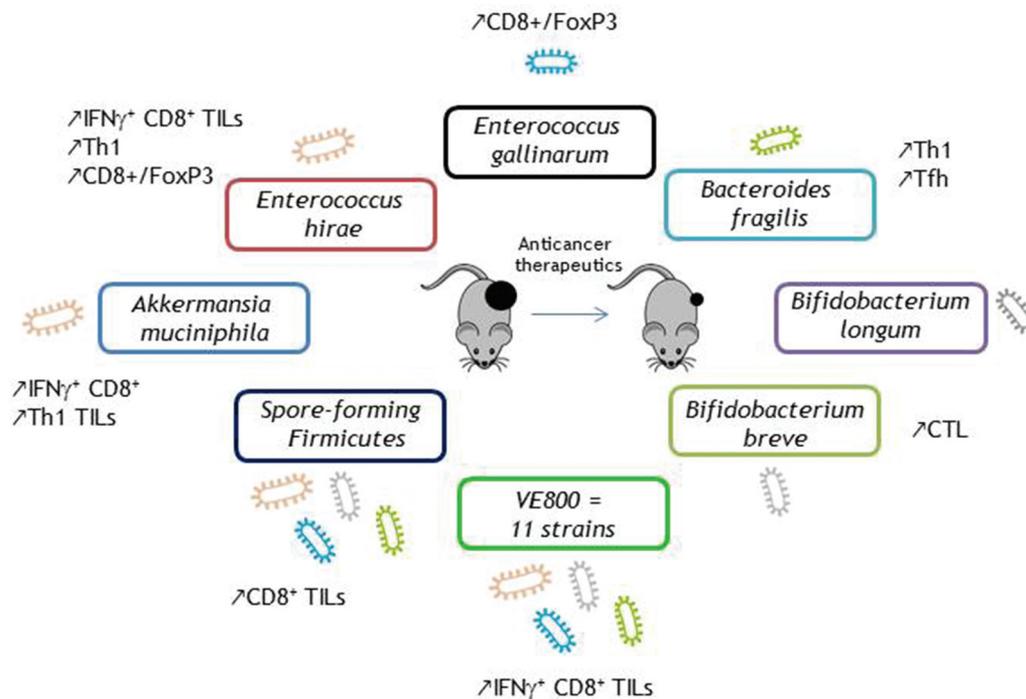


Figure 1. Key bacteria safely boosting the efficacy of anticancer therapeutics in vivo.

summarize arguments supporting the links between the intestinal ecosystem and tumor immunosurveillance, overviewing the deleterious effects of antibiotics on the clinical benefits to be expected from ICIs, the metagenomics-based fingerprints dictating survival, and the key regulatory bacteria associated with tumor control during treatment with immunotherapy.

Antibiotics hinder the efficacy of ICIs

Preclinical studies performed in axenic (gnotobiotic) or broad-spectrum antibiotic (ATB)-treated mice have supported a cause–effect relationship between dysbiosis and the failure of anticancer therapeutics.^{21–23,26,28} Several independent retrospective studies in advanced cancer patients across a diverse range of malignancies (NSCLC, RCC, bladder cancer, melanoma, and geographic locations) revealed that antibiotic treatment taken 1 month before anticancer therapeutics dampens the clinical efficacy of ICIs and immunogenic chemotherapy. These observations specifically highlighted that the disruption of a homeostatic microbiota (i.e., a switch from eubiosis to dysbiosis) and the loss of specific bacterial species may be detrimental for the success of anticancer therapies.^{28–36} Recently, corroborating this notion, Derosa et al. confirmed in a prospective trial investigating the composition of the gut microbiota through shotgun metagenomics that antibiotics prior to second-line PD-1 blockade in advanced RCC patients had a deleterious clinical impact, reducing the microbiota diversity, and increasing *Clostridium hathewayi*, a species associated with immune tolerance.³⁷ In parallel, microbiota profiling from 70 Japanese NSCLC patients also showed that ATB prior to ICIs decreases bacterial diversity and increases *Clostridium hathewayi*.³⁸ Intrinsically, identification of key bacteria driving the sensitivity/primary resistance to anticancer

treatments is crucial to unravel the role of the gut microbiota in this scenario. Many of the recently published studies in this area highlight the deleterious effect of antibiotics in patients with more advanced disease and with multifariousness incidents that may influence ICI responses.^{28,37} However, a recent analysis showed that patients with non-metastatic melanoma, a “best-prognosis” subgroup receiving ICIs in the adjuvant setting, also had a survival detriment if exposed to antibiotics. Clearly, harm from antibiotics is not limited to cancer patients with advanced metastatic disease.³⁹ Nevertheless, antibiotic classes should be carefully considered. Some antibiotics can provide a positive ‘eubiotic’ effect on the gut microbiota by reducing the abundance of unfavorable gut bacteria. Vancomycin, mostly targeting gram-positive bacteria, including butyrate-producing bacteria and decreasing short-chain fatty acids (SCFA) concentrations, in combination with radiotherapy was able to potentiate the abscopal antitumor immune effect and tumor growth inhibition in mice. Notably, butyrate, a metabolite produced by the vancomycin-depleted gut bacteria, abrogated the vancomycin effect.⁴⁰ In fact, high levels of butyrate and propionate in the blood are associated with resistance to CTLA-4 blockade and an increase in the abundance of Treg cells.⁴¹ However, these results are in contrast with a small Japanese study (52 patients suffering from a broad range of cancer types) showing that high concentrations of fecal and plasma SCFAs were associated with a response to PD-1 treatment and longer progression-free survival (PFS).⁴² Additional research is needed to clarify the association between fecal and plasma SCFAs and the efficacy of ICIs. Conversely, there is strong evidence indicating that antibiotics-induced dysbiosis is associated with poor therapeutic efficacy of ICI-based immunotherapy, suggesting a causal link between dysbiosis and poor therapeutic outcome.^{28–33,36,37}

Gut oncomicrobiota signatures associated with response to ICIs

Recent advances in next-generation sequencing (NGS) approaches, allowing for the in-depth study of the intestinal microbiota composition, facilitated the discovery of correlations between specific fingerprints of the gut microbiota with the onset and course of certain pathologies.⁴³ Accordingly, the exploration of the composition of the gut microbiota in cancer patients through 16S rRNA gene sequencing or shotgun metagenomics has demonstrated a major impact of the gut microbiota on the clinical activity of ICIs. These analyses led to the hypothesis that the intestinal microbiota can be used to categorize patients receiving ICIs in responders (R) and non-responders (NR) as defined by standardized response evaluation criteria in solid tumors (RECIST 1.1 criteria) regardless of methodologies for DNA extraction and sequencing, geo-distributions of patient populations, and therapies (Table 1).

The first evidence came from a French cohort of metastatic melanoma (MM) patients treated with the anti-CTLA-4 antibody ipilimumab. Twenty-six MM patients were prospectively enrolled to analyze the impact of gut microbiota composition at baseline on clinical response to ipilimumab.⁴⁴ Interestingly, the authors could segregate cancer patients into clusters driven by specific bacterial fingerprints found using 16S rRNA gene sequencing. Patients belonging to cluster A harbored *Faecalibacterium* spp. and were associated with longer PFS than *Bacteroides* spp.-driven cluster B patients. Moreover, patients from cluster A exhibited lower circulating CD4⁺ Tregs.^{41,44} An additional study including 39 patients focusing on various ICI regimens (anti-CTLA-4, anti-PD-1, or the combination of both) corroborated the finding that the metagenomics-based analysis of the gut microbiota composition can predict clinical outcome of immune checkpoint blockade in MM patients. It also showed with the bias of a limited number of patients in each immunotherapy arm that the best species predictive for response are different in each regimen.⁴⁵ Here again, a relative enrichment in *Faecalibacterium prausnitzii* was strongly associated with responses to a combination of both nivolumab and ipilimumab while *Dorea formicigenerans* correlated with a favorable clinical outcome during the course of pembrolizumab.⁴⁵ A study published by Gopalakrishnan et al. revealed that MM patients, from Texas (USA), who responded to anti-PD-1 therapy, had a significantly higher diversity of bacteria in their stool at diagnosis compared to NR. Moreover, a higher relative abundance of Clostridiales, Ruminococcaceae, and *Faecalibacterium* was observed in individuals with a good prognosis while NR cancer patients had a higher abundance of Bacteroidales.²⁷ The relationship between the dominance of distinct intestinal bacteria and tumor immunosurveillance was discussed when correlating tumor-infiltrating lymphocyte (TIL) phenotyping and 16S rRNA-based bacterial enrichment. The authors showed, in 25 patients, that CD8⁺, CD3⁺, FOXP3⁺, PD1⁺, and Granzyme B⁺ TILs were associated with the *Faecalibacterium* genus, the Ruminococcaceae family, and the Clostridiales order, suggesting the impact of distinct commensals on cytolytic T cells entailing tumor progression.²⁷ Another US report, from Chicago, also demonstrated significant microbiota-related differences in the response to treatment with PD-1

blocking antibodies. 16S rRNA sequencing of gene amplicons in fecal materials of 42 MM patients at baseline demonstrated that R had enrichment in *Bifidobacterium longum*, *Collinsella aerofaciens*, and *Enterococcus faecium*.²⁵ Moreover, a study performed in 25 Dutch MM patients showed that differences in taxa abundance contrasted R and NR, with similar results with previous studies. Indeed, carriers of *Streptococcus parasanguinis* or *Bacteroides massiliensis* exhibited prolonged PFS while individuals harboring Peptostreptococcaceae (unclassified species) exhibited a shorter OS and PFS compared to non-carriers.⁴⁶ Taken together, these epidemiological studies described the association between the composition of the intestinal ecosystem at diagnosis and the clinical outcome of MM patients treated with ICIs.

These particular findings are not restricted to MM. Indeed, the fecal bacteria repertoire has been found to also critically influence the prognosis of advanced NSCLC and RCC cancer patients during the course of ICI-based therapies in France. Quantitative metagenomics analysis performed prior to anti-PD-1 blockade identified a distinct gut metagenomic fingerprint (centered around *Akkermansia muciniphila* and *Alistipes* spp.) in stools of 100 patients who benefited from PD-1 inhibition; considering response rates or PFS at 3 months.²⁸ Interestingly, the role of the gut microbiota has also been addressed in an East-Asian NSCLC population.⁴⁷ In this cohort, 16S rRNA gene sequencing of 37 stools demonstrated that higher diversity of the gut microbiota paved the way to prolonged PFS. Differential gut microbiota signatures contrasted R versus NR cancer patients. Here again, *Alistipes putredinis*, *Prevotella copri*, or *Bifidobacterium longum* were enriched in R patients. The Shannon diversity index of the taxonomic composition was positively correlated with circulating immune antigen-primed-cytotoxic T cells (such as GZMB⁺CD45RO⁺CD27⁺CD8⁺ T cells or GZMB⁺CD45RO⁺CD27⁻CD8⁺ T cells).⁴⁷ Two additional Japanese studies performed 16S rRNA gene sequencing of fecal materials from NSCLC (n = 70) and NSCLC (n = 14) as well as gastric cancer (n = 24) patients confirmed that higher diversity of the bacterial community and enrichment of the Ruminococcaceae and Clostridiales order predicted benefit to PD-1 blockade.^{38,48} Furthermore, the relative abundance of members of the Ruminococcaceae family⁴⁸ correlated with the density of PD-1⁺CD8⁺ T cells among (TILs). Again, another report analyzing the gut microbiota composition from 17 NSCLC patients revealed that *Lactobacillus*, *Clostridium*, and *Syntrophococcus* were overrepresented in R, while *Bilophila* or *Sutterella*⁴⁹ was dominant in NR. Of note, the presence of *Bilophila* drastically shortened the time to treatment failure.⁴⁹ A Chinese prospective study including 63 NSCLC cancer patients revealed *Parabacteroides* and *Methanobacteriaceae* as species and family members associated with PFS >6 months while stool enriched in *Veillonella*, *Selenomonadales*, and *Negativicutes*⁵⁰ predicted shorter PFS during PD-1 blockade. In sharp contrast with these findings, ileal enrichment with *Veillonella*, *Selenomonadales*, and *Negativicutes* was found to be associated with increased TIL and favorable prognosis during oxaliplatin-based chemotherapy in proximal colon cancer patients.⁵¹

Several teams have confirmed the potential clinical significance of *Akkermansia muciniphila* in driving a therapeutic

Table 1. Studies highlighting the role of the gut microbiota in the clinical efficacy of anticancer therapeutics.

Cancer	Study	ICI	N =	Tech.	Diversity	Results (good)	Results (bad)	Country	Sample
HCC	Zheng et al., <i>Journal for Immunotherapy of Cancer</i> , 2019	aPD-1	8	MGN	Increased in R	Akkermansia muciniphila Ruminococcaceae spp. Bifidobacterium dentium Dialister invisus Coprococcus comes Bacteroides caccae Streptococcus parasanguinis Faecalibacterium prausnitzii Bacteroides thetaiotaomicron Holdemania filiformis Dorea formicogenerans Faecalibacterium prausnitzii Unclassified Ruminococcaceae Clostridium XIVa Blautia Clostridiales Ruminococcaceae Faecalibacterium Bifidobacterium longum Collinsella aerofaciens Enterococcus faecium Faecalibacterium Geminger	Bacteroides nordii Fusobacterium varium	China	Stool
MM	Frankel et al., <i>Neoplasia</i> , 2017	aCTLA-4± aPD-1	39	MGN	No difference	Bacteroides caccae Streptococcus parasanguinis Faecalibacterium prausnitzii Bacteroides thetaiotaomicron Holdemania filiformis Dorea formicogenerans Faecalibacterium prausnitzii Unclassified Ruminococcaceae Clostridium XIVa Blautia Clostridiales Ruminococcaceae Faecalibacterium Bifidobacterium longum Collinsella aerofaciens Enterococcus faecium Faecalibacterium Geminger	Coriobacteriaceae Atopobium parvulum Acidaminococcaceae	Texas, USA	Stool
MM	Chaput et al., <i>Ann. Oncol.</i> , 2017	aCTLA-4	26	16S rRNA	Not addressed	Faecalibacterium prausnitzii Unclassified Ruminococcaceae Clostridium XIVa Blautia Clostridiales Ruminococcaceae Faecalibacterium Bifidobacterium longum Collinsella aerofaciens Enterococcus faecium Faecalibacterium Geminger	Bacteroides	France	Stool
MM	Gopalakrishnan et al., <i>Science</i> , 2018	aPD-1	43	16S rRNA	Increased in R	Clostridiales Ruminococcaceae Faecalibacterium Bifidobacterium longum Collinsella aerofaciens Enterococcus faecium Faecalibacterium Geminger	Bacteroidales	Texas, USA	Stool
MM	Matson et al., <i>Science</i> , 2018	aPD-1	42	16S rRNA	Not addressed	Bifidobacterium longum Collinsella aerofaciens Enterococcus faecium Faecalibacterium Geminger	Ruminococcus obeum Roseburia intestinalis	Chicago, USA	Stool
MM	Coutzac et al., <i>Nature Communications</i> , 2020	aCTLA-4	38	MGN	Not addressed	Faecalibacterium Geminger	Faecalibacterium	France	Stool
MM	Wind et al., <i>Melanoma Research</i> , 2020	aCTLA-4± aPD-1	25	MGN	No difference	Streptococcus parasanguinis Bacteroides massiliensis Akkermansia muciniphila Clostridiales	Peptostreptococcaceae	Netherlands	Stool
NSCLC/ gastric	Fukuoka et al., <i>ASCO</i> , 2018	aPD-1	38	16S rRNA	Increased in R	Akkermansia muciniphila Clostridiales		Japan	Stool
NSCLC/ RCC	Routy et al., <i>Science</i> , 2018	aPD-1	100	MGN	NA	Firmicutes	Parabacteroides distasonis	France	Stool
NSCLC	Jin et al., <i>Journal of Thoracic Oncology</i> , 2019	aPD-1	37	16S rRNA	Increased in R	Akkermansia muciniphila Alistipes indistinctus Alistipes putredinis Bifidobacterium longum Prevotella copri Lactobacillus Clostridium Syntrophococcus Clostridiales Ruminococcaceae UCG 13 Parabacteroides Methanobacteriaceae	Bacteroides nordii Ruminococcus unclassified	China	Stool
NSCLC	Katayama et al., <i>Transl Lung Cancer Research</i> 2019	aPD-1	17	16S rRNA	NA	Lactobacillus Clostridium Syntrophococcus Clostridiales Ruminococcaceae UCG 13 Parabacteroides Methanobacteriaceae	Bilophila Sutterella	Japan	Stool
NSCLC	Hakozaki et al., <i>ASCO</i> , 2020	aPD-(L)1	70	16S rRNA	Increased in R	Syntrophococcus Clostridiales Ruminococcaceae UCG 13 Parabacteroides Methanobacteriaceae	Veillonella Selenomonadales Negativicutes 2-Pentanone Tridecane	Japan	Stool
NSCLC	Song et al., <i>Thorac Cancer</i> , 2020	aPD-1	63	MGN	Increased in R	Ruminococcaceae UCG 13 Parabacteroides Methanobacteriaceae	Veillonella Selenomonadales Negativicutes 2-Pentanone Tridecane	China	Stool
NSCLC	Botticelli <i>J Transl Med</i> . 2020	a-PD-1	11	NA	NA	Propionate Butyrate Lysine Nicotinic acid Pseudoxanthomonas Saccharopolyspora Streptomyces		Italy	Stool
PC	Riquelme et al., <i>Cell</i> , 2019	Surgery	43	16S rRNA	Increased in R	Pseudoxanthomonas Saccharopolyspora Streptomyces			Tumor

(Continued)

Table 1. (Continued).

Cancer	Study	ICI	N =	Tech.	Diversity	Results (good)	Results (bad)	Country	Sample
Rectal cancer	Jang et al., <i>International Journal of Radiation Oncology • Biology • Physics</i> (2020)	Preoperative Chemoradiation	45	16S rRNA	Increased in R	<i>Duodenibacillus massiliensis</i>	<i>Bacteroidales</i>	Korea	Stool
RCC	Derosa et al., <i>European Urology</i> , 2020	aPD-1	58	MGN	Increased in R	<i>Akkermansia muciniphila</i>	<i>Erysipelotrichaceae bacterium_2_2_44A</i> <i>Clostridium hathewayi</i> <i>Clostridium clostridioforme</i>	France	Stool
RCC	Agarwal et al., <i>JCO</i> , 2020	aPD-1	22	16S rRNA	Increased in R	<i>Bacteroides salyersiae</i> <i>Eubacterium siraeum</i> <i>Akkermansia muciniphila</i>		USA	Stool
Solid cancers	Nomura et al., <i>JAMA Netw Open</i> , 2020	aPD-1	52	NA	NA	Acetic acid Propionic acid Butyric acid Valeric acid		Japan	Stool
Solid cancers	Heshiki et al., <i>Microbiome</i> , 2020	Chemotherapy/ immunotherapy	26	MGN	Increased in R	<i>Bacteroides xylanisolvens</i>	<i>Clostridium symbiosum</i>	NA	Stool

MM: metastatic melanoma; NSCLC: non-small cell lung cancer; PC: pancreatic cancer; RCC: renal cell carcinoma; R: responder; NR: non-responder; MGN: metagenomic; NA: not applicable.

benefit to ICIs, more specifically in NSCLC,²⁸ melanoma,⁴⁶ HCC patients,⁵² and recently in RCC.^{37,53} In brief, Derosa et al. reported in 58 RCC cancer patients treated in 2 L with nivolumab that a significant bacterial composition contrasted R versus NR with an overrepresentation of distinct species including *Akkermansia muciniphila*, *Bacteroides salyersiae*, or *Eubacterium siraeum* in patients disposed to becoming R.³⁷

In a parallel study, all RCC cancer patients exhibiting a complete response to ICIs (n = 3) harbored *Akkermansia muciniphila* although the number of patients was not sufficient to draw definitive conclusions.⁵³ Fecal metagenomics analysis performed in 8 HCC cancer patients identified *Akkermansia muciniphila* and *Ruminococcaceae* spp. in the 20 enriched spp. characterizing R patients and *B. nordii* in a 15 spp.-fingerprint associated with NR as already reported.^{28,52} In Dutch MM patients, *A. muciniphila* was also listed in the favorable commensals associated with objective responses to ICI therapy.⁴⁶

Interestingly, focusing on describing also negative species by applying various bioinformatic and clinical subgroup analyses (LEfSe, PLS-DA VIP, networks), Derosa et al. identified a set of species (phylum Firmicutes, family Clostridiaceae, *Clostridium clostridioforme*, *Clostridium hathewayi*) as associated with primary resistance to ICIs, enriched by ATB use and metastatic cancer status.³⁷

Although ICIs have revolutionized therapeutic approaches across various malignancies, conventional anticancer regimens such as chemotherapy or radiotherapy still represent the cornerstone of oncological arsenal. Numerous studies have addressed the putative influence of the gut microbiota repertoire in the prediction of clinical responses to these cytotoxic agents. Twenty-six cancer patients diagnosed with miscellaneous malignancies, treated either with cytotoxic compounds or targeted medicine or a combination of the latter drugs with immunotherapy, were enrolled in a prospective study aimed at segregating patients according to their intestinal commensalism. *Bacteroides xylanisolvens*, *Bacteroides ovatus*, and *Prevotella copri* were significantly overrepresented in R compared to NR defined using the RECIST1.1 criterion. In contrast, *Clostridium symbiosum* and *Ruminococcus gnavus* were enriched in NR.⁵⁴ A second study analyzing fecal composition prior to preoperative concurrent chemoradiations in 45 rectal cancer patients concluded that *Duodenibacillus massiliensis* was linked to complete responses.⁵⁵

Altogether, the emerging field of oncoimmunomicrobiology is progressively integrating the gut microbiota into the parameters that determine the cancer immune set-point governing the clinical efficacy of immuno-chemo-radio-therapy. First, low alpha diversity of the intestinal ecosystem is associated with dismal prognosis in advanced cancer patients, as also shown in several chronic inflammatory disorders (such as obesity).⁵⁶ Secondly, some bacteria species arise to be repeatedly associated with favorable clinical outcomes (namely *Akkermansia muciniphila*, *Ruminococcaceae* including *Faecalibacterium prausnitzii*, *Bifidobacterium* spp.) although variabilities in the main commensal fingerprints associated with a specific pattern of responses appear obvious within analogous patients' populations and therapies. These variabilities could be explained by many factors such as DNA extraction and sequencing methodologies,⁵⁵ cohort size, age and

gender, geography,^{57,58} and confounding factors (including diet, lifestyle, exposure to xenobiotics, antibiotic class and window, comedications and comorbidities).^{59,60} Other important players that affect intestinal barrier integrity are the tumor itself, disease stage, ECOG performance status, medication, peripheral inflammatory tone, and pro-cachexia signs.^{61,62} Finally, aside from the basal composition of the gut commensalism, dictated by the original network of bacterial co-occurrence, the treatment itself may impact on the relative abundance of microbes, as shown with ipilimumab²³ and tyrosine kinase inhibitors (TKIs).³⁷ Overall, TKIs induced a significant and characteristic microbiota shift promoting a higher abundance of immunostimulatory commensals that could be used to improve the efficacy of ICIs in RCC patients such as *A. senegalensis* and *A. muciniphila*.

In addition, a different study paved the way in understanding the reciprocal relationship between the intratumoral microbiota and the clinical outcome of resected pancreatic ductal adenocarcinoma (PDAC) cancer patients. Although most of the patients died at an advanced stage with an OS of 9% at 5 y, a minor subset of patients survives longer.⁶³ Interestingly, alpha-diversity of the tumor microbiota was significantly higher in the long-term survivor of PDAC.⁶⁴ In fact, an enrichment on Proteobacteria (*Pseudoxanthomonas*) and Actinobacteria (*Saccharopolyspora* and *Streptomyces*) was observed in this subset of patients.⁶⁴ However, intra-tumoral microbes in pancreatic cancer may also be harmful. Pushalkar et al. demonstrated the negative impact of microbes on anti-tumor immunity with evidence for possible migration of bacteria from the gut to the pancreas.⁶⁵ Nevertheless, these emerging findings indicate there is a cross-talk between gut microbiota and local microbiota (as exemplified by pancreatic cancer) and that also local microbiota may contribute positively or negatively to carcinogenesis and therapeutic responses.

Identification of key bacteria boosting the antitumoral efficacy of anticancer treatments

Modulating the composition of the gut microbiota and harnessing the immunogenicity of the intestinal microbiota may be a promising strategy with which to circumvent primary resistance to anticancer therapeutics. Several bacterial candidates have been identified, isolated, characterized, and are currently or on the verge to be tested in clinical trials in combination with anticancer treatments or as a standalone therapy.⁶⁶

Cause-effects relationships between the presence of distinct microbial commensals and antitumor activity have been examined primarily in preclinical models. So far, investigators have performed oral gavages in germ-free or broad ATB-treated mice using a complete human or mouse ecosystem or a complex mixture of several bacteria or “monoclonal” strains, into immunocompetent syngeneic hosts inoculated with ortho- or hetero-topic cancers. The concept of “avatar” mice which consists in colonizing gut-sterilized mice with patients’ stools has proven useful to recapitulate human dysbiosis across various diseases.^{67,68} In the setting of cancer, avatar mice transferred with feces from patients bearing melanoma, NSCLC, RCC, or colon cancer and transplanted with

orthotopic tumors could convey the phenotype of R versus NR following immunotherapy with anti-PD1 and/or anti-CTLA-4 Ab, in 100% cases after oral gavage with R fecal material and in 75% cases when supplementing with NR derived feces.^{23,25,27,28}

Akkermansia muciniphila is a strictly anaerobic Gram-negative bacterium from the phylum Verrucomicrobia displaying a multifaceted mode of action.^{69,70} Indeed, a Phase I trial conducted in 32 overweight/obese insulin-resistant volunteers demonstrated that supplementation with *Akkermansia muciniphila* is safe and capable of improving the metabolic fitness.⁷¹ Further studies have emphasized its capacity to prolong lifespan in progeroid mice⁷² while ameliorating the symptoms of amyotrophic lateral sclerosis through nicotinamide accumulation in the central nervous system.⁷³ A recent report showed that *A. muciniphila* prevents colitis-induced colon cancer by mobilizing TNF producing CTL primed in the mesenteric lymph nodes and expressing low levels of PD1 despite their lytic potential.⁷⁴ We highlighted the capacity of *A. muciniphila* to boost immune responses during the course of PD-1 blockade, both in tumor-bearing rodents and humans.^{28,37} Supplementation of NR-FMT treated avatar mice with *A. muciniphila* rescued the antitumoral efficacy of PD1-blockade in an IL-12-dependent manner demonstrating that *A. muciniphila* dictates the clinical outcome of ICIs. In addition, the bacterium dampened the recruitment of immunosuppressive Tregs cells into the tumor microenvironment while eliciting the accumulation of CC-chemokine receptor 9 (CCR9)-expressing Th1 cells in the tumor bed. Accordingly, memory Th1 and Tc1 cell reactivity against *A. muciniphila* correlated with a clinical benefit of PD-1 blockade in NSCLC and RCC cancer patients.²⁸

Enterococcus hirae has been one of the first bacterial isolates that show antitumoral potential in combination with chemotherapy. This Gram+ bacterium is essential to mediate the antitumoral efficacy of cyclophosphamide (CTX), a prominent alkylating anticancer agent.^{21,75} CTX promotes the translocation of *E. hirae* in secondary lymphoid organs (mLN and spleen), inducing FN γ (and IL-17) producing CD4 + T cells and Tc1 cells. Moreover, the combination of *E. hirae* and CTX reduced Treg numbers in sarcomas, culminating in a significant rise of the CD8/Foxp3 ratio, which in turn anticorrelated with tumor size.²¹ Hence, oral gavage with *E. hirae* restored the antitumoral efficacy of CTX lost in ATB-treated mice. In advanced cancer patients, memory CD4⁺ Th1 cell responses against *E. hirae* were associated with survival in CTX- or anti-PD-1²⁷ antibody-treated individuals. While the prevalence of *E. hirae* is minimally detected using shotgun metagenomics-based analyses of patient stool, culturomics allowed for the isolation of *E. hirae* colonies in 20% cancer patients. Diagnosis of *E. hirae* in stool culturomics of NSCLC patients at diagnosis before starting second-line PD-1 blockade predicted prolonged survival.²⁸ An independent study revealed that the frequency of circulating T cells recognizing *E. hirae* correlated with robust CD8⁺ T cell responses and better prognosis in HBV-related hepatocellular carcinoma,⁷⁶ suggesting the clinical significance of this particular bacterium across different malignancies.

In addition to *E. hirae*, other Enterococci spp. have been isolated and characterized for their immunomodulatory potential against cancer cells. A strain of *Enterococcus gallinarum*, isolated from a healthy human gut, has demonstrated its antiproliferative effects against EMT6 breast, RENCA renal, and LLC1 lung carcinoma.⁷⁷ This microbial product caused changes in the tumor immune microenvironment and increased the CD8⁺/FoxP3 ratio. In addition, a TLR5 dependent immunostimulatory phenotype of this strain was monitored using reporter cell lines. The authors identified flagellin as the active component of *Enterococcus gallinarum*.⁷⁸ Therefore, the antitumoral potential of this strain is currently under investigation in cancer patients amenable to ICI-based therapy in advanced diseases, as well as in neoadjuvant settings to determine its property to modulate the tumor microenvironment before tumor resection (NCT03934827/NCT04193904).

Bifidobacterium is a gram-positive, non-spore-forming, non-motile, non-filamentous polymorphic rod bacterium. Pioneering studies demonstrated that the growth kinetics of B16.SIY melanoma as well as the intratumoral CD8⁺ T cell accumulation were completely different in mice purchased from different vendors (Jackson Laboratories (JAX) versus Taconic Farms (TAC)) harboring distinct commensal microbiota.²⁴ These differences were ablated when the two mouse colonies were cohoused, demonstrating that the normalization of the gut microbiota could boost anti-cancer immune responses. Further investigations characterizing the composition of the gut microbiota between JAX and TAC highlighted that certain *Bifidobacterium* species could induce tumor-infiltrating CD8⁺ T cells. Transfer of *Bifidobacterium breve* or *Bifidobacterium longum* or fecal material from JAX mice into TAC mice could all reduce melanoma growth and restore anti-melanoma cytotoxic T lymphocyte (CTL) responses.²⁴ A recent study unveiled that the SIY antigen (TAA) of B1610 displayed antigen mimicry with an epitope belonging to *Bifidobacterium breve*, accounting for the T cell-mediated antitumor responses achieved by oral supplementation with this probiotic.⁷⁹ Accordingly, T cells targeting the microbial antigen recognized melanoma tumor cells expressing the SIY tumor-associated antigen. Conversely, tumors expressing the TAA also grew faster in mice lacking *Bifidobacterium breve* bacterium.⁷⁹ Of note, memory immune reactivity against *B. longum* also correlated with robust CD8⁺ T cell responses and better prognosis in HBV-related hepatocellular carcinoma patients.⁷⁶

The first bacterial species known to harbor “zwitterionic” peptides capable of engaging CD4⁺ T cell receptors was *Bacteroides fragilis*.^{80–82} *B. fragilis* was very effective in boosting immune responses primed in the setting of sarcoma tumors treated with anti-CTLA4 Ab²³ as well as colon carcinoma treated with oxaliplatin-based immunogenic chemotherapy.⁵¹ Antibiotics blunted the anticancer efficacy of CTLA-4 blockade against various transplantable tumors unless oral supplementation with *B. fragilis* was performed, which reinstated IL-12-dependent Th1 immune responses. Interestingly, anti-CTLA-4 Abs administered to tumor-bearing avatar mice reconstituted with FMT from melanoma patients foster the overrepresentation of distinct *Bacteroides* spp. (*Bacteroides fragilis* or *Bacteroides thetaiotaomicron*) and recapitulated the phenotype

of response observed in patient.²³ Interestingly, oral supplementation with *B. fragilis* (as opposed to *Fusobacterium nucleatum* or *Paraprevotella clara*) turned chemotherapy-induced tolerogenic ileal apoptosis into immunogenic cell demise capable of eliciting PD1^{high} follicular helper T cells and B cell responses and of promoting the efficacy of anti-PD1 Abs against established colon cancers.⁵¹ Hence, the ileal microbiota enriched in commensals playing the role of adjuvant for ileal apoptosis triggered TFH and the efficacy of PD-1 blockade, even in tumors devoid of neoantigens.

In contrast to the aforementioned approaches, using very common commensals to compensate gut dysbiosis, another study demonstrated that a mixture of several rare strains isolated from fecal materials from healthy Japanese individuals was effective in shaping immunity in the colonic mucosae and tumor microenvironment.⁸³ The authors identified a cocktail of 11 human bacterial strains capable of promoting T_H1 IFN γ producing CD8⁺ T cells that are not only crucial for combating infectious pathogens but also for dampening cancer progression.⁸³ Supplementation of germ-free mice with these 11 strains (composed of 7 Bacteroidales spp. and 4 non-Bacteroidales spp.) resulted in the robust induction of IFN γ -CD8⁺ T cells in the colon through a mechanism requiring Batf3 dependent CD103⁺ CD11b⁻ dendritic cells. Next, they showed the capacity of the cocktail to ameliorate the efficacy of PD-1 blockade in axenic MC38 adenocarcinoma bearing mice. It significantly improved the efficacy of ICIs while increasing the frequency IFN γ ⁺ CD8 TILs phenotypically distinct from the colonic IFN γ ⁺ CD8 T cell subsets. Of note, the bacterial cocktail could reduce tumor growth as a standalone therapy (in the absence of PD-1 blockade).⁸³ This microbial product is currently tested in combination with PD-1 blockade in advanced cancer patients after vancomycin sensitization (NCT04208958).

It is well known that bacteria can sporulate under life-threatening circumstances^{84,85} offering an advantage over non-sporulating commensals for the long-lasting colonization of their hosts. This property has been exploited by other investigators in the setting of PD-1 blockade. Firmicutes spores fraction isolated from a healthy donor stool was capable of rescuing the antitumoral efficacy of PD-1 blockade in both conventional mice treated with antibiotics and axenic mice by increasing CD8⁺ TILs.⁸⁶

Needless to say that most of the antitumoral efficacy described in all these preclinical studies appear to be strain-specific,²² urging for delineating precise modes of action for each single isolate.

Concluding remarks

Several clinical trials are evaluating the capacity of harnessing the gut microbiota to improve cancer treatments from different angles such as examining the ability to prevent primary resistance to various anticancer treatment modalities, transforming “cold into hot” tumor microenvironment, and mitigating toxicities associated with a single line or combination ICIs.⁶⁶ Several important issues need to be addressed in the clinical development of live biotherapeutic products or their derivatives (metabolites, antigens, or adjuvants). First, robust

preclinical datasets are mandatory to characterize the mechanisms of action of each strain or microbial products to design the most suitable clinical strategy and indications. This will allow for the design of appropriate pharmacodynamic parameters to follow compliance and transient colonization of the patient. Secondly, patient stratification will be necessary to avoid treating patients without overt intestinal dysbiosis, and for whom primary resistance to ICIs may be related to tumor intrinsic factors. Third, compatibility networks between the indigenous microflora and the live biotherapeutic product may be crucial for a long-lasting benefit of repetitive courses of anticancer probiotics. Pre-sensitization with antibiotics or other innovative approaches aimed at eliminating pathobionts associated with ICI failure or precluding colonization or bioactivity of immunogenic commensals may be important to optimize clinical regimen. Regardless of these considerations, this emerging field will benefit from pioneering trials showing the efficacy of FMT from complete responders into patients experiencing primary resistance to PD-1 blockade.

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Conflicts of interest

RD is a full-time employee of everImmune, a biotech company dedicated to immunostimulatory bacteria. RD, GK, and LZ are the scientific cofounders of everImmune.

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